

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Eckhard Marx et al.

Serial No.: Not Yet Assigned

Filed: Herewith

For: Semiconductor Device Identification Apparatus

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)**

Pursuant to the duty imposed by 37 C.F.R. §1.56 to disclose information which may be material to the patentability of the above-identified patent application, the Applicant would like to direct the Examiner's attention to the documents listed on the enclosed Information Disclosure Citation Form (PTO/SB/08). In accordance with this duty of disclosure, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- ☐ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☒ The subject Information Disclosure Statement is being filed without copies of the listed U.S. Patents and/or U.S. patent application publications pursuant to the waiver of the requirement under 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of the listed foreign patent documents and/or non-patent literature are enclosed herewith.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.

- ☐ Publication(s) \_\_\_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_\_\_ application serial no. \_\_\_\_\_ and mailed on \_\_\_\_\_.
- ☐ Enclosed are copies of non-English publications. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. English language publication \_\_\_\_\_ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_\_\_ for which an English translation is not available.
- ☐ Enclosed is an English translation of the non-English publication(s) cited in the attached Form PTO/SB/08A.
- ☒ Enclosed are English Abstracts of non-English publications for which an English translation is not available.
- ☐ Enclosed is a copy of pending patent Application Serial No. \_\_\_\_\_.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: 4/2/04

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PTO/SB/08A (08-00)

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				<b>Application Number</b>	Not Yet Assigned
				<b>Filing Date</b>	Herewith
				<b>First Named Inventor</b>	Eckhard Marx
				<b>Group Art Unit</b>	Not Yet Assigned
<b>Examiner Name</b>	Not Yet Assigned				
<b>Sheet</b>	1	<b>of</b>	1	<b>Attorney Docket Number</b>	0928.0044C

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
		3,636,250		Haefl	01-18-1972
		4,983,842		Takamine	01-08-1991
		5,197,650		Monzen et al.	03-30-1993
		5,567,927		Kahn et al.	10-22-1996
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		6,168,296	B1	Yoneda	01-02-2001
		6,215,551	B1	Nikoonahad et al.	04-10-2001

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		EP	1 073 097	A3	Komatsu Ltd.	07-11-2001	
		WO	91/18313	A1	Interactive Video Systems, Inc.	11-28-1991	
		WO	01/22028	A1	Brown University Research Foundation	03-29-2001	
		EP	0 857 336 (With English Abstract)	B1	RECIF SA	05-14-2003	
		JP	05-203583 (With English Abstract)	A1	Sony Corporation	08-10-1993	
		JP	11-145017 (With English Abstract)	A1	Oki Electric Ind Co Ltd.	05-28-1999	
		JP	63108736 (With English Abstract)	A1	Mitsubishi Electric Corporation	05-13-1988	
		JP	61236117 (With English Abstract)	A1	Hitachi Ltd.	10-21-1986	
		JP	10255026 (With English Abstract)	A1	Asahi Optical Co Ltd.	09-25-1998	
		JP	10185530 (With English Abstract)	A1	Asahi Optical Co Ltd.	07-14-1998	
		JP	06112300 (With English Abstract)	A1	Matsushita Electron Corp.	04-22-1994	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.